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Taxation and Customs Union DG CUST-DEV3 Project SUBJECT: DDNTA for NCTS Phase 4 Appendix A2: Mandatory and Strongly Recommended Messages (CUST-DEV3-SC03-DDNTA_APP_A2)		
FRAMEWORK CONTRACT TAXUD/2013/CC/124 SPECIFIC CONTRACT 03		

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INTRODUCTION

This appendix gives a summary of all exchanges which are mandatory or strongly recommended for the NTA.

Please note that this Appendix is derived from Appendix A1.

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MANDATORY MESSAGES

IE	Name	Reference	National Transit Application								
			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	NA	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE01	AAR	C_AAR_SND	M	M			M		M		
IE02	AAR request	C_AAR_REQ	M	M			M		M		
IE03	AAR response	C_AAR_RSP	M	M			M		M		
IE06	Arrival advice	C_ARR_ADV	M	M			M		M		
IE10	Cancellation notification	C_CAN_NOT	M	M			M		M		
IE11	Sensitive Goods Notification	C_SGI_NOT	M				M		M		
IE12	AAR-TIR-SPEED	C_AAR_SPD	M				M		M		
IE15	Declaration data	E_DEC_DAT		M		Y			SR		
IE18	Destination control results (type A or B)	C_DES_CON	M	M			M		M		
IE20	Discrepancies solved notification	C_DIS_SOL	M	M			M		M		
IE24	Forwarded arrival advice	C_FWD_ARR	M	M			M		M		
IE27	Movement query	C_MVT_QUE	M	M			M		M		
IE29	Release for transit	E_REL_TRA	M			Y			SR		
IE30	Notification of Customs Offices Modification to Common Domain	C_COL_COM	M				M	M	M	M	
IE31	Notification of Customs Offices Modification to National Domain	C_COL_NAT		M			M	M	M	M	
IE32	Notification of Common Reference Data Modification to ND	C_REF_MOD		M			M	M	M	M	
IE34	Query on guarantees	C_GUA_QUE	M	M			M		M		
IE37	Response query on guarantees	C_GUA_RSP	M	M			M		M		
IE38	Response to movement query	C_MVT_RSP	M	M			M		M		
IE50	ATR	C_ATR_SND	M	M			M		M		
IE59	Cancel enquiry notification	C_CAN_ENQ	M	M		Y			M		
IE63	Recovery communication	C_REC_COM	M	M	Y	Y	Y		SR		
IE70	Notification of System Unavailability to CD	C_UNA_COM	M				-	M		M	
IE71	Notification of System Unavailability to ND	C_UNA_NAT		M				M		M	
IE110	Paper control results	C_RES_PAP		M	Y						
IE111	Authorised consignee query	C_AUT_QUE	M	M			M		M		
IE112	Response to authorised consignee query	C_AUT_RSP	M	M			M		M		
IE114	ATR request	C_ATR_REQ	M	M			M		M		
IE115	ATR response	C_ATR_RSP	M	M			M		M		
IE118	NCF	C_NCF_NOT	M	M			M		M		
IE121	Communicate diversion	C_DIV_COM		M	Y						
IE142	Enquiry request	C_ENQ_REQ	M	M			M		M		
IE143	Enquiry response	C_ENQ_NEG	M	M			M		M		
IE144	Enquiry information	C_ENQ_INF	M	M			M		M		
IE145	Enquiry information request	C_INF_REQ	M	M			M		M		

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IE	Name	Reference	National Transit Application								
			Send	Receive	Exchange Mechanism				EDI Format		
					Paper	NA	CCN/CSI	Web	EDIFACT	XML	CCN/CSI
IE150	Recovery request	C_REC_REQ	M	M			M		M		
IE151	Recovery acceptance notification	C_REC_ACC	M	M			M		M		
IE152	Recovery dispatch notification	C_REC_DIS	M	M			M		M		
IE200	Guarantee check	C_GUA_CHE	M	M			M		M		
IE201	Guarantee check result	C_GUA_RES	M	M			M		M		
IE203	Guarantee use	C_GUA_USE	M	M			M		M		
IE204	Guarantee use cancellation	C_GUA_CAN	M	M			M		M		
IE205	Guarantee use result	C_GUA_USR	M	M			M		M		
IE209	Credit Reference amount	C_GUA_CRE	M	M			M		M		
IE411	Sending of Statistics Data	C_STA_SND	M				M	M	M	M	
IE412	Statistics Generated sent to National Domain	C_STA_GEN		M				M		M	
IE901	Cancellation Acknowledgement	C_CAN_ACK	M	M			M		M		
IE904	Status Request	C_STD_REQ	M	M			M		M		
IE905	Status Response	C_STD_RSP	M	M			M		M		
IE906	Functional Nack	C_FUN_NCK	M	M			M		M		
IE907	EDIFACT Nack (CONTRL)	C_EDI_NCK	M	M			M		M		
IE908	CCN/CSI Confirm On Delivery Acknowledgement	C_COD_ACK	M	M			M				M
IE909	CCN/CSI Confirm On Arrival Acknowledgement	C_COA_ACK	M	M			M				M
IE910	CCN/CSI Expiration notification	C_EXP_NOT	M	M			M				M
IE911	CCN/CSI Exception notification	C_EXC_NOT	M	M			M				M
IE912	Availability Matrix	C_AVL_MTX	M					M		M	
IE913	Upload parsing response	C_UPL_RSP		M			M	M	M	M	
IE914	COL Request	C_COL_REQ	M				M		M		
IE916	Common RD request	C_REF_REQ	M				M		M		
IE931	COL data	C_COL_DAT		M			M	M	M	M	
IE932	Common RD data	C_REF_DAT		M			M	M	M	M	
IE971	Full unavailability schedule	C_UNA_DAT		M				M		M	

Please note that, for all these exchanges, the EDI format and the transport mechanism are predefined and unique, except for the following:

- the E_REL_TRA and the E_DEC_DAT (it is only strongly recommended to use the EDIFACT format for these);
- the exchange of IE30, IE31, IE32 and IE913 can take place across CCN/CSI and the Inter(extra)net. For CCN/CSI, the EDIFACT format is to be used; for exchange across the Inter(Intra)net, both EDIFACT and XML formats are supported by the central reference tools;
- IE913 will always be in the same format (EDIFACT or XML) as the message they relate to.

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STRONGLY RECOMMENDED MESSAGES FOR NTA

IE	Name	Reference	National Transit Application									
			Send	Receive	Exchange Mechanism				EDI Format			
					Paper	NA	CCN/CSI	Web	EDIFACT	XML	CCN/CSI	
IE04	Amendment acceptance	E_AMD_ACC	SR			Y				SR		
IE05	Amendment rejection	E_AMD_REJ	SR			Y				SR		
IE07	Arrival notification	E_ARR_NOT		SR		Y				SR		
IE08	Arrival notification rejection	E_ARR_REJ	SR			Y				SR		
IE09	Cancellation decision	E_CAN_DEC	SR			Y				SR		
IE13	Declaration amendment	E_DEC_AMD		SR		Y				SR		
IE14	Declaration cancellation request	E_DEC_CAN		SR		Y				SR		
IE16	Declaration rejected	E_DEC_REJ	SR			Y				SR		
IE19	Discrepancies	E_DIS_SND	SR			Y				SR		
IE21	AAR rejection notification	E_REJ_NOT	SR			Y				SR		
IE23	Guarantor notification	E_GUA_NOT	SR			Y				SR		
IE25	Goods release notification	E_GDS_REL	SR			Y				SR		
IE28	MRN allocated	E_MRN_ALL	SR			Y				SR		
IE43	Unloading permission	E_ULD_PER	SR			Y				SR		
IE44	Unloading remarks	E_ULD_REM		SR		Y				SR		
IE45	Write-off notification	E_WRT_NOT	SR			Y				SR		
IE51	No release for transit	E_REL_NOT	SR			Y				SR		
IE54	Request of release	E_REQ_REL		SR		Y				SR		
IE55	Guarantee not valid	E_GUA_INV	SR			Y				SR		
IE58	Unloading remarks rejection	E_ULD_REJ	SR			Y				SR		
IE60	Control decision notification	E_CTR_DEC	SR			Y				SR		
IE62	Release request rejection	E_REQ_REJ	SR			Y				SR		
IE100	Ask for documents	E_ASK_DOC	SR			Y				SR		
IE101	Return documents	E_DOC_SND		SR	Y							
IE119	Departure control document on paper	E_DEP_PAP	SR	SR	Y							
IE120	Destination control document on paper	E_DES_PAP	SR	SR	Y							
IE140	Request on non-arrived movement	E_REQ_MOV	SR			Y				R		
IE141	Information about non-arrived movement	E_MOV_RSP		SR		Y				R		

For all the messages marked with “Y” in column NA, the transport mechanism needs to be defined by the NA. The usage of XML is (strongly) recommended for all these messages. Please note that for IE931 and IE932 messages, both XML and EDIFACT format are supported for Inter(extra)net exchanges whereas only EDIFACT is supported for CCN/CSI exchanges.

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OPTIONAL MESSAGES FOR NTA

IE	Name	Reference	National Transit Application									
			Send	Receive	Exchange Mechanism				EDI Format			
					Paper	NA	CCN/CSI	Web	EDIFACT	XML	CCN/CSI	
IE35	Recovery procedure notification	E_REC_NOT	O			Y				R		
IE918	MRN List Query	C_MRN_QUE	O				M			M		
IE919	MRN List Response	C_MRN_RSP		O			M	M		M	M	